

DOCKET NUMBER: CR 16-0515 (NGG)

**CRIMINAL CAUSE FOR ARRAIGNMENT / GUILTY PLEA**

Date Received By Docket Clerk: \_\_\_\_\_ Docket Clerk Initials: \_\_\_\_\_

BEFORE JUDGE: GARAUFIS DATE: SEPTEMBER 29, 2016 TIME IN COURT \_\_\_ HRS 60 MINS  
@ 2:30 PM.

**1. DEFENDANT: OZ AFRICA MANAGEMENT GP, LLC. (CORPORATION)**

Present X Not Present Custody Not Custody

**DEFENSE COUNSEL:** MARK K. SCHONFELD, JOEL M. COHEN, LEE G. DUNST AND F. JOSEPH WARIN

FEDERAL DEFENDER: CJA: RETAINED: X

Corporation Representative: Colleen Kilfoyle

**2. DEFENDANT:**

Present Not Present Custody Not Custody

**DEFENSE COUNSEL:**

FEDERAL DEFENDER: CJA: RETAINED:

**3. DEFENDANT:**

Present Not Present Custody Not Custody

**DEFENSE COUNSEL:**

**FEDERAL DEFENDER: CJA: RETAINED:**

**A.U.S.A.:** JAMES LOONAM, JONATHAN LAX, DAVID PITLUCK, LEO TSAO AND JAMES MCDONALD

**COURT REPORTER: CHARLEANE HEADING**

**INTERPRETER: LANGUAGE:**

X Change of Plea Hearing (~Util-Plea Entered) ☐ Revocation of Probation contested

☐ Arraignment ☐ Sentencing on a violation

☐ Bail Application ☐ Motion Hearing

☐ Violation ☐ Hearing

☐ Status Conference ☐ Sentencing

☐ Bail Violation Hearing ☐ Motion for sentence reduction

☐ Curcio Hearing ☐ Oral Argument

☐ Voir Dire Held ☐ Jury selection ☐ Jury trial

☐ Jury Trial Death Penalty ☐ Sentence enhancement Phase ☐ Bench Trial Begun

Speedy Trial Start: Speedy Trial Stop: CODE TYPE: XT

Do these minutes contain ruling(s) on motion(s)? YES NO X

THE DEFENDANT CORPORATION IS ARRAIGNED AND PLEADS NOT GUILTY TO THE CHARGE CONTAINED IN THE SINGLE-COUNT INFORMATION. THE DEFENDANT CORPORATION AFTERWARDS PLEADS GUILTY TO THE SINGLE-COUNT INFORMATION. SENTENCING IS SCHEDULED FOR WEDNESDAY, MARCH 29, 2017 AT 2:00 PM. PARTIES WAIVE THE PREPARATION OF THE PRESENTENCE REPORT.